



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:
John S. HENDRICKS

Atty. Docket No. 026880-00019

Serial No.: 09/964,882

Examiner: Tamara Teslovich

Filed: September 28, 2001

Art Unit: 2137

For: ELECTRONIC BOOK SELECTION AND DELIVERY SYSTEM HAVING
ENCRYPTION AND SECURITY FEATURES

INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Date: September 28, 2006

Sir:

Pursuant to 37 CFR §1.56, the attention of the Patent and Trademark Office is hereby directed to the information item(s) listed on the attached Form PTO-SB08. Unless otherwise indicated herein, one copy of each item(s) is attached. It is respectfully requested that the information be expressly considered during the prosecution of this application, and that the item(s) be made of record therein and appear among the "References Cited" on any patent to issue therefrom.

- ☐ 1. This Information Disclosure Statement is being filed (a) within three months of the U.S. filing date, OR (b) before the mailing date of a first Office Action on the merits in the present application, OR (c) accompanies a Request for Continued Examination. No certification or fee is required.
- ☒ 2. This Information Disclosure Statement is being filed more than three months after the U.S. filing date AND after the mailing date of the first Office Action on the merits, but before the mailing date of a Final Rejection or Notice of Allowance.
- ☐ a. I hereby certify that each item of information contained in this Information Disclosure Statement was first cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement. 37 CFR §1.97(e)(1).
- ☐ b. I hereby certify that no item of information in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to my knowledge after making reasonable inquiry, was known to any individual designated in 37 CFR §1.56(c) more than three months prior to the filing of this Information Disclosure Statement. 37 CFR §1.97(e)(2).

09/29/2006 MBERHE 00000017 09964882

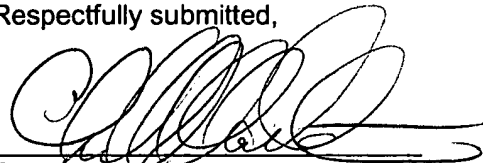
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- ☒ c. A check in the amount of \$180.00 in payment of the fee under 37 CFR §1.17(p). Please charge any fee deficiency or credit any overpayment to Deposit Account No. 01-2300 as needed to ensure consideration of the disclosed information.
- ☐ 3. This Information Disclosure Statement is being filed more than three months after the U.S. filing date and after the mailing date of a Final Rejection or Notice of Allowance, but before payment of the Issue Fee. Applicant(s) hereby petition(s) that the Information Disclosure Statement be considered. Attached is our check in the amount of \$180.00 in payment of the petition fee under 37 CFR §1.17(i)(1). Please charge any fee deficiency or credit any overpayment to Deposit Account No. 01-2300 as needed to ensure consideration of the disclosed information.
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- ☒ 4. The references were cited in corresponding foreign applications and related U.S. and foreign applications.
- ☒ 5. Copies of the foreign patent documents and the publications are on the attached CD.

In the event that any fees are due with respect to this paper, the Commissioner is hereby authorized to charge such fees to Deposit Account No. 01-2300, referencing Atty. Docket No. 026880-00019.

Respectfully submitted,



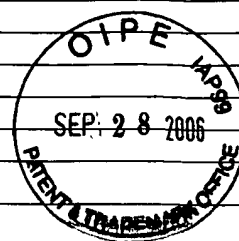
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Substitute for form 1449A/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT Form PTO/SB/08a				Complete if Known		
				Application Number	09/964,882	
				Filing Date	September 28, 2001	
				First Named Inventor	John S. HENDRICKS	
				Art Unit	2137	
				Examiner Name	Tamara Teslovich	
Sheet	1	of	28	Attorney Docket Number	026880-00019	
U.S. PATENT DOCUMENTS						
Examiner Initials*	Cite No. ¹	Document Number	Number-Kind Code ² (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear



		3,869,873	n/a	Ringstad	
		3,891,792	n/a	Kimura	
		3,978,470	n/a	McGuire	
		4,071,697	01-1978	Bushnell et al.	
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		4,673,976		Wreford-Howard	

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		4,688,218		8/18/1987	Bliveau et al.	
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		4,965,825		10/23/1990	Harvey, et al.	
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		5,124,980		6/23/1992	Maki	
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		5,235,619		8/1993	Beyers et al.	

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		5,235,680		8/1993	Bijnagte	
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				Application Number	09/964,882	
				Filing Date	September 28, 2001	
				First Named Inventor	John S. HENDRICKS	
				Art Unit	2137	
				Examiner Name	Tamara Teslovich	
Sheet	7	of	28	Attorney Docket Number	026880-00019	
U.S. PATENT DOCUMENTS						
Examiner Initials*	Cite No. ¹	Document Number	Number-Kind Code ² (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear

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Art Unit	2137
Examiner Name	Tamara Teslovich
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				Examiner Name	Tamara Teslovich	
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				Examiner Name	Tamara Teslovich	
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				Filing Date	September 28, 2001
				First Named Inventor	John S. HENDRICKS
				Art Unit	2137
				Examiner Name	Tamara Teslovich
Sheet	14	of	28	Attorney Docket Number	026880-00019

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				Art Unit	2137
				Examiner Name	Tamara Teslovich
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